### PATENT COOPERATION TREATY

## From the INTERNATIONAL SEARCHING AUTHORITY To: NOTIFICATION OF TRANSMITTAL OF CARPMAELS & RANSFORD THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL Attn. Tunstall, C.S. 43-45 Bloomsbury Square SEARCHING AUTHORITY, OR THE DECLARATION London WC1A 2RA UNITED KINGDOM 2 6 JUN 2006 (PCT Rule 44.1) CARPMAELS & HANSI Date of mailing (day/month/year) 27/06/2006 Applicant's or agent's file reference FOR FURTHER ACTION See paragraphs 1 and 4 below P040403WO International application No. International filing date (day/month/year) PCT/GB2006/001023 21/03/2006 Applicant CILAG AG INTERNATIONAL The applicant is hereby notified that the international search report and the written opinion of the International Searching 1. X Authority have been established and are transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46): The time limit for filing such amendments is normally two months from the date of transmittal of the International Search Report. Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Fascimile No.: (41-22) 338.82.70 For more detailed instructions, see the notes on the accompanying sheet. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. 4. Reminders Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication. The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date. Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentiaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Authorized officer

Elisabeth Reinecke

#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

## **INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

# Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

# **PATENT COOPERATION TREATY**

# **PCT**

# **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER		n PCT/ISA/220		
P040403WO	ACTION		applicable, item 5 below.		
International application No.	International filing date (day/mon	//month/year) (Earliest) Priority Date (day/month/year			
PCT/GB2006/001023	3B2006/001023 21/03/2006 06/04/2005				
Applicant		<u> </u>			
CILAG AG INTERNATIONAL					
This international search report has been according to Article 18. A copy is being tra	nsmitted to the International Burea		transmitted to the applicant		
X It is also accompanied by	a copy of each prior art document	ted in this report.			
a translation of the of a translation of the of a translation fur  _b.	pplication in the language in which international application into	t was filed onal search (Rules 12 disclosed in the inter	_ , which is the language .3(a) and 23.1(b)) national application, see Box No. I.		
5. With regard to the abstract,	hmitted by the englished				
the text is approved as su  X the text has been establish	bmitted by the applicant hed, according to Rule 38.2(b), by	nis Authority as it ann	ears in Box No. IV. The applicant		
	m the date of mailing of this interna				
6. With regard to the drawings,					
a. the figure of the <b>drawings</b> to be published with the abstract is Figure No					
X as suggested by the applicant					
	s Authority, because the applicant				
	s Authority, because this figure bet	er characterizes the ir	nvention		
b none of the figures is to be	e published with the abstract				

Form PCT/ISA/210 (first sheet) (April 2005)

International application No.

PCT/GB2006/001023

Box No. IV Text of the abstract (Continuation of item 5 of the first sheet)

An injection device (110) comprises a housing (112) defining a first axis (101). A drive (120) acts upon a syringe when released by a trigger (114). The trigger is rotatable from a rest position in which the drive is retained to an active position in which it no longer causes the drive to be so retained. The trigger is pivotally mounted and has a surface (201) shaped such that a user can apply a force in a direction substantially parallel to the first axis to rotate the trigger from its rest position to its active position. Such an injection device provides improved handling and ease of operation.

Form PCT/ISA/210 (continuation of first sheet (3)) (April 2005)

International application No PCT/GB2006/001023

A. CLASSIFICATION OF SUBJECT MATTER INV. A61M5/20				
INV. A0195/20				
According to International Patent Classification (IPC) or to both national classification	ation and IPC			
B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification)	on eumhole)			
A61M	on symbols)			
Documentation searched other than minimum documentation to the extent that s	uch documents are included in the fields se	earched		
Electronic data base consulted during the international search (name of data base	se and, where practical, search terms used	)		
EPO-Internal				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category* Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.		
	- Talling Radioages	Tiolovant to claim 140.		
X US 4 194 505 A (SCHMITZ, WILLIAM	L)	1-7		
25 March 1980 (1980-03-25)				
column 3, line 38 - line 48; figu	ires			
3,8,12				
X,P GB 2 414 403 A (* CILAG AG INTERN	NATIONAL)	1-7		
30 November 2005 (2005-11-30)				
abstract; figures				
X,P GB 2 414 402 A (* CILAG AG INTERN	IATIONAL)	1-7		
30 November 2005 (2005-11-30)				
abstract				
A US 5 645 536 A (WHISSON ET AL)		1-7		
8 July 1997 (1997-07-08)				
abstract; figures 1,2				
	·/ <b></b>			
	,			
Further documents are listed in the continuation of Box C.	X See patent family annex.			
	"T" later document published after the inte or priority date and not in conflict with	rnational filing date		
"A" document defining the general state of the art which is not considered to be of particular relevance	cited to understand the principle or the invention	eory underlying the		
•E• earlier document but published on or after the international filing date	"X" document of particular relevance; the c			
"L" document which may throw doubts on priority claim(s) or	cannot be considered novel or cannot involve an inventive step when the do	cument is taken alone		
citation or other special reason (as specified)  *O* document referring to an oral disclosure, use, exhibition or	"Y" document of particular relevance; the c cannot be considered to involve an involve an involve an involve and i	entive step when the		
other means ments, such combination being obvious to a person skilled				
*P* document published prior to the international filing date but later than the priority date claimed *&* document member of the same patent family				
Date of the actual completion of the international search	Date of mailing of the international sea	rch report		
17 May 2006	27/06/2006			
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer			
NL - 2280 HV Rijswijk				
Fax: (+31-70) 340-3016 Ehrsam, F				

2

International application No
PCT/GB2006/001023

0/0	Atan) BOOLHENTO CONORDES SONO DE SELECTION	FC1/GB2000/001023
C(Continua		· ·
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 575 939 B1 (BRUNEL MARC) 10 June 2003 (2003-06-10) abstract; figure 1	1-7
A	US 6 454 746 B1 (BYDLON ROLAND JOSEPH ET AL) 24 September 2002 (2002-09-24) abstract; figures 6,8,9	4
X	US 3 656 472 A (PIERRE BEN MOURA)  18 April 1972 (1972-04-18) abstract; figure 3	1
n PCT/ISA/2		

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 8

Since claim 8 refers to the drawing it lacks clarity and is therefore considered unclear and no meaningful search could be executed.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

# International application No. PCT/GB2006/001023

# INTERNATIONAL SEARCH REPORT

Box II	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. X	Claims Nos.:  8 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  see FURTHER INFORMATION sheet PCT/ISA/210
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box III	Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This Inte	ernational Searching Authority found multiple Inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (2)) (January 2004)

Information on patent family members

International application No PCT/GB2006/001023

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
US 4194505	Α	25-03-1980	NONE			
GB 2414403	Α	30-11-2005	WO	2005115510	A1	08-12-2005
GB 2414402	Α	30-11-2005	WO	2005115509	A1	08-12-2005
US 5645536	Α	08-07-1997	NONE			
US 6575939	В1	10-06-2003	AT AU DE DE EP ES FR WO	1053037	A D1 T2 A1 T3 A1	15-11-2002 23-08-1999 19-12-2002 02-10-2003 22-11-2000 16-06-2003 06-08-1999 12-08-1999
US 6454746	B1	24-09-2002	AU AU BR CA EP IL JP NO WO	733383 7816798 9809929 2292719 1007115 133276 2002502296 995964 9855168	A A1 A1 A T A	10-05-2001 21-12-1998 01-08-2000 10-12-1998 14-06-2000 20-06-2004 22-01-2002 03-12-1999 10-12-1998
US 3656472	A	18-04-1972	BE DE FR GB NL ZA	748605 2017598 2040830 1311937 7005292 7002344	A1 A5 A A	16-09-1970 29-10-1970 22-01-1971 28-03-1973 19-10-1970 28-04-1971

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY PCT To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/GB2006/001023 21.03.2006 06.04.2005 International Patent Classification (IPC) or both national classification and IPC INV. A61M5/20 Applicant CILAG AG INTERNATIONAL This opinion contains indications relating to the following items: ☑ Box No. I Basis of the opinion ☐ Box No. II Priority ☑ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☑ Box No. VI Certain documents cited Box No. VII Certain defects in the international application ☐ Box No. VIII Certain observations on the international application **FURTHER ACTION** 2. If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date. whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA: Date of completion of Authorized Officer this opinion European Patent Office see form Ehrsam, F

PCT/ISA/210

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# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2006/001023

_		
_	Box N	o. I Basis of the opinion
1.	With r	egard to the language, this opinion has been established on the basis of:
	⊠ th	e international application in the language in which it was filed
	□ a po	translation of the international application into , which is the language of a translation furnished for the urposes of international search (Rules 12.3(a) and 23.1 (b)).
2.	With reneces	egard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application and sary to the claimed invention, this opinion has been established on the basis of:
	a. type	e of material:
		a sequence listing
		table(s) related to the sequence listing
	b. forn	nat of material:
		on paper
		in electronic form
	c. time	of filing/furnishing:
		contained in the international application as filed.
		filed together with the international application in electronic form.
		furnished subsequently to this Authority for the purposes of search.
3.	ha co	addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto as been filed or furnished, the required statements that the information in the subsequent or additional spies is identical to that in the application as filed or does not go beyond the application as filed, as opropriate, were furnished.
4.	Additio	onal comments:

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2006/001023

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of					
	the entire international application				
	claims Nos. 8				
bed	eause:				
	the said international application, or the said claims Nos. relate to the following subject matter which does not require an international search <i>(specify)</i> :				
	the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):				
	the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed <i>(specify)</i> :				
$\boxtimes$	no international search report has been established for the whole application or for said claims Nos. 8				
	a meaningful opinion could not be formed without the sequence listing; the applicant did not, within the prescribed time limit:				
	☐ furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.				
	☐ furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.				
	□ pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rules 13 ter.1(a) or (b).				
	a meaningful opinion could not be formed without the tables related to the sequence listings; the applicant did not, within the prescribed time limit, furnish such tables in electronic form complying with the technical requirements provided for in Annex C-bis of the Administrative Instructions, and such tables were not available to the International Searching Authority in a form and manner acceptable to it.				
	the tables related to the nucleotide and/or amino acid sequence listing, if in electronic form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.				
	See Supplemental Box for further details				

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2006/001023

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

No: Claims

1-7

Inventive step (IS)

Yes: Claims

No: Claims

1-7

Industrial applicability (IA)

Yes: Claims

1-7

No: Claims

2. Citations and explanations

see separate sheet

### Box No. VI Certain documents cited

 Certain published documents (Rules 43bis.1 and 70.10) and /or

2. Non-written disclosures (Rules 43bis.1 and 70.9)

see form 210

# Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

## Re Item III.

1. Since no search is executed for claim 8 due to lack of clarity, no examination is carried out.

# Re Item V.

- 1 Reference is made to the following documents:
  - D1: US-A-4 194 505 (SCHMITZ, WILLIAM L) 25 March 1980 (1980-03-25)
  - D2: GB-A-2 414 403 (CILAG AG INTERNATIONAL) 30 November 2005
  - D3: GB-A-2 414 402 (CILAG AG INTERNATIONAL) 30 November 2005
  - D4: US-A-5 645 536 (WHISSON ET AL) 8 July 1997 (1997-07-08)
  - D5: US-B1-6 575 939 (BRUNEL MARC) 10 June 2003 (2003-06-10)
  - D6: US-B1-6 454 746 (BYDLON ROLAND JOSEPH ET AL) 24 September 2002
  - D7: US-A-3 656 472 (PIERRE BEN MOURA) 18 April 1972 (1972-04-18)

# 2 INDEPENDENT CLAIM 1

2.1 The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 1 is not new in the sense of Article 33(2) PCT.

Document D1 discloses the fact that the trigger is pivotally mounted and has a surface shaped such that the user can apply a force in a direction substantially parallel to the first axis to rotate the trigger from its rest position to its active position, see in particular description col. 3, lines 38-48 and figures 3, 8 and 12. The same objection applies to the two other document D2 and D3, see respectively the figures and abstract.

# 3 DEPENDENT CLAIMS 2-7

Dependent claims 2-7 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in

respect of novelty and/or inventive step (Article 33(2) and (3) PCT).

## Re Item VII.

1. Documents D2 and D3 are considered as intermediate documents and can therefore only considered for novelty objection if an European application exists.

# Re Item VII.

- 1. The description should have been brought into conformity with the new claims to be filed; care should be taken during revision, especially of the introductory portion including any statements of problem or advantage, not to add subject-matter which extends beyond the content of the application as originally filed (Art. 34 2) b)).
- 2. To meet the requirements of Rules 6 3 b) the independent claim should have been properly cast in a two part form, with those features which in combination are part of the nearest prior art being placed in the first part.
- 3. To meet the requirements of Rule 5.1 a vi, the cited documents should have been identified in the description and the relevant background art therein is to be indicated.
- 4. The features of the claims should have been provided with reference signs placed in parentheses (Rule 6.2(b) PCT).

## Re Item VIII.

1. Claim 1 is not clear since the feature "the trigger is pivotally mounted and has a surface shaped such that the user can apply a force in a direction substantially parallel to the first axis to rotate the trigger from its rest position to its active position" is only considered to be a result to be achieved and is therefore not clear (see

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/GB2006/001023

Guidelines C-III, 4,7).